

NL - 37 : STATEMENT OF DOWN GRADED INVESTMENTS - 2Name of the Insurer : **UNITED INDIA INSURANCE COMPANY LIMITED**Registration Number : **545**Statement as on : **31-Dec-2016****STATEMENT OF DOWN GRADED INVESTMENT**Periodicity of Submission - **Quarterly**

Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
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A. During the Quarter¹

1	2.00% Tata Steel 2022	ECOS	1,684.40	23-Apr-12	RICKWOR	AA+	AA	27-Oct-16	Approved
2	9.15% Tata Steel 2021	ECOS	6,038.89	24-Jan-13	RICKWOR	AA+	AA	27-Oct-16	Approved
3	9.15% Tata Steel 2019	ECOS	1,000.00	24-Jan-13	RICKWOR	AA+	AA	27-Oct-16	Approved

B. As on date²

1	8.15% APPFC 2020	ISAS	487.50	04-May-10	ICRA	A+	D	27-Sep-16	Guranteed
2	8.74% APPFC 2022	ISAS	1,000.00	23-Nov-10	ICRA	A	D	27-Sep-16	Guranteed
3	8.90% Bank of Baroda (Perp)	EUPD	498.80	30-Jan-09	CARE	AAA	AA+	25-Mar-16	Approved
4	9.15% Bank of Baroda (Perp)	EUPD	1,001.42	01-Apr-13	CARE	AAA	AA+	25-Mar-16	Approved
5	9.00% Bank of India (Perp)	EUPD	2,994.00	29-Oct-07	ICRA	AAA	AA	06-Nov-15	Approved
6	10.40% Bank of India (Perp)	EUPD	2,502.06	13-Aug-07	ICRA	AAA	AA	06-Nov-15	Approved
7	10.55% Bank of India (Perp)	EUPD	1,680.07	07-Jan-10	ICRA	AAA	AA	06-Nov-15	Approved
8	9.05% Birla Corporation Ltd 2020	ECOS	2,005.30	13-Jun-16	CARE	AA+	AA	09-Aug-16	Approved
9	8.57% Central Bank 2025	OLDB	974.14	11-Jun-10	ICRA	AA	AA-	22-Feb-16	Unapproved
10	9.05% Corporation Bank (Perp)	EUPD	1,000.00	11-Aug-09	CRISIL	AAA	AA	31-Mar-16	Approved
11	11.25% Deccan Chronicle 2012	OLDB	2,994.46	12-Jul-11	CARE	P1+	D	02-Jul-12	Unapproved
12	12.00% First Leasing (I) Ltd 2017	OLDB	1,500.00	31-May-12	CARE	AA	C	10-Jan-14	Unapproved
13	9.50% Gammon India 2020	IODS	1,940.00	18-Jun-10	CARE	AA	D	06-Oct-14	Unapproved
14	11.40% Lakshmi Vilas Bank 2018	OLDB	2,000.00	01-Jan-12	RICKWOR	A-	BBB+	02-Sep-15	Unapproved
15	9.75% JSL Ltd 2022	OLDB	877.00	31-Mar-10	CARE	B	D	26-Apr-12	Unapproved
16	9.55% Hindalco Industry 2022	OLDB	15,005.50	27-Apr-12	CRISIL	AA+	AA-	31-Jul-15	Unapproved
17	9.60% Hindalco Industry 2022	OLDB	1,953.40	02-Aug-13	CRISIL	AA+	AA-	31-Jul-15	Unapproved
18	2.00% Indian Hotel 2017	ECOS	1,497.47	25-Apr-12	ICRA	AA+	AA	14-Sep-12	Approved
19	9.90% Indian Hotels 2017	ECOS	2,500.00	27-Feb-12	ICRA	AA+	AA	14-Sep-12	Approved
20	10.00% KBJNL 2024	SGOA	2,500.00	15-Feb-14	RICKWOR	AA	AA-	10-Jun-16	Guranteed
21	11.75% Magma Fin Corp 2017	OLDB	1,500.00	05-Dec-11	CARE	AA	AA-	01-Aug-14	Unapproved
22	11.50% Magma Fin Corp 2018	OLDB	1,498.50	06-Sep-12	CARE	AA	AA-	01-Aug-14	Unapproved
23	8.75% OBC 2024	ECOS	2,003.72	11-Feb-09	ICRA	AAA	AA+	25-Mar-14	Approved
24	9.20% OBC 2024	OLDB	1,009.41	27-Oct-14	RICKWOR	AA+	AA-	11-Apr-16	Unapproved
25	9.10% OBC (Perp)	OBPT	1,000.00	17-Dec-09	RICKWOR	AA	AA-	11-Apr-16	Unapproved
26	8.23% PNB Housing Fin 2019	HTDN	4,500.00	09-Oct-15	ICRA	AAA	AA+	01-Apr-16	Approved
27	9.45% Punjab National Bank 2023	ECOS	993.50	29-May-08	CARE	AAA	AA+	25-Mar-16	Approved
28	8.90% Punjab National Bank (Perp)	OBPT	998.73	01-Apr-13	CARE	AAA	AA-	19-Jul-16	Unapproved
29	10.40% Punjab National Bank (Perp)	OBPT	2,500.46	20-Jul-07	CARE	AAA	AA-	19-Jul-16	Unapproved
30	11.15% Reliance Infra 2016	IODS	2,500.00	30-Mar-12	CRISIL	AA	A	20-Mar-15	Unapproved
31	11.15% Reliance Infra 2018	IODS	2,500.00	18-Apr-12	CRISIL	AA	A	20-Mar-15	Unapproved
32	10.00% Reliance Infra 2020	IODS	2,001.21	06-Aug-13	CRISIL	AA+	A	20-Mar-15	Unapproved
33	10.25% Reliance Infra 2024	IODS	5,000.00	21-Jan-14	CRISIL	AA	A	20-Mar-15	Unapproved
34	8.50% SAIL 2019	EPBT	1,500.00	23-Dec-09	CARE	AAA	AA+	16-Mar-16	Approved
35	8.60% SAIL 2019	EPBT	2,000.00	24-Nov-09	CARE	AAA	AA+	16-Mar-16	Approved
36	8.65% SAIL 2019	EPBT	1,500.00	28-Jan-10	CARE	AAA	AA+	16-Mar-16	Approved
37	8.72% SAIL 2020	EPBT	3,972.45	07-May-10	CARE	AAA	AA+	16-Mar-16	Approved
38	8.75% SAIL 2020	EPBT	2,000.00	29-Apr-10	CARE	AAA	AA+	16-Mar-16	Approved
39	10.30% Shriram Housing Fin 202	HTDA	1,000.00	10-Oct-14	FITCH	AA+	AA	06-Jul-16	Approved
40	9.25% Shriram Housing Fin 2019	HTDA	1,998.00	26-Dec-14	FITCH	AA+	AA	06-Jul-16	Approved

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Rs. in lakhs

No	Instrument Name	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Downgrade Date	Remarks
41	9.00% Shriram Housing Fin 2023	HTDA	1,500.00	02-May-16	FITCH	AA+	AA	06-Jul-16	Approved
42	10.75% Simplex infra 2022	IODS	1,500.00	06-Dec-12	CARE	AA	A	01-Oct-15	Unapproved
43	11.00% Simplex Infra 2022	IODS	1,000.00	29-Jun-12	CARE	AA	A	01-Oct-15	Unapproved
44	11.30% Srei Infra Fin Ltd 2017	IODS	2,500.00	19-Jul-12	CARE	AA	AA-	03-Dec-12	Unapproved
45	11.75% Srei Infra Fin Ltd 2017	IODS	1,500.00	12-Jan-12	CARE	AA	AA-	03-Dec-12	Unapproved
46	11.85% Srei Infra Fin Ltd 2017	IODS	2,000.00	30-Nov-11	CARE	AA	AA-	03-Dec-12	Unapproved
47	9.17% Sterlite Industries 2023	OLDB	1,000.00	05-Jul-13	CRISIL	AA+	AA-	27-Jan-16	Unapproved
48	9.85% Tata Communications 2019	ICTD	1,007.92	10-Aug-09	CARE	AAA	AA+	30-Nov-10	Approved
49	9.15% Tata Power 2021	IODS	497.04	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
50	9.15% Tata Power 2021	IODS	976.20	23-Jul-10	CRISIL	AA+	AA-	10-Jan-14	Unapproved
51	9.15% Tata Power 2022	IODS	496.90	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
52	9.15% Tata Power 2023	IODS	496.77	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
53	9.15% Tata Power 2024	IODS	495.85	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
54	9.15% Tata Power 2025	IODS	992.43	17-Sep-10	CRISIL	AA	AA-	10-Jan-14	Unapproved
55	10.75% Tata Power 2072	IODS	5,000.00	21-Aug-12	CRISIL	AA	AA-	10-Jan-14	Unapproved
56	11.40% Tata Power (Perp)	IODS	2,500.00	02-Jun-11	CRISIL	AA	AA-	10-Jan-14	Unapproved
57	2.00% Tata Steel 2022	ECOS	1,684.40	23-Apr-12	RICKWOR	AA+	AA	27-Oct-16	Approved
58	9.15% Tata Steel 2021	ECOS	6,038.89	24-Jan-13	RICKWOR	AA+	AA	27-Oct-16	Approved
59	9.15% Tata Steel 2019	ECOS	1,000.00	24-Jan-13	RICKWOR	AA+	AA	27-Oct-16	Approved
60	8.64% TNEB 2020	SGOA	1,000.00	11-May-10	CRISIL	A+	A	04-Jan-15	Guranteed

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date: 13.02.2017

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General Manager

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund. In case of ULIP FORM 2 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04